

Closing the Chain on Shoulder Injuries in Overhead Athletes

W. Steven Tucker, PhD, ATC
University of Central Arkansas

IATA Annual Meeting & State Symposium, Peoria, IL
November 11, 2018

Disclosures

I have no relevant financial or nonfinancial relationship to disclose related to this presentation or program.



- Most upper extremity ADL are OKC
- Why do CKC for injury prevention and rehab?



Types of Shoulder Injuries

1. Too tight
2. Too loose
3. Too weak
 - ❑ Scapular stabilizers



Photo by Josh Goffuck Media Relations



UNIVERSITY OF
CENTRAL
ARKANSAS™

“The People vs. The Supraspinatus”

- by: Don Walendzak, PT
- Supraspinatus is put on trial for problems with the shoulder...when the scapular muscles are to blame.

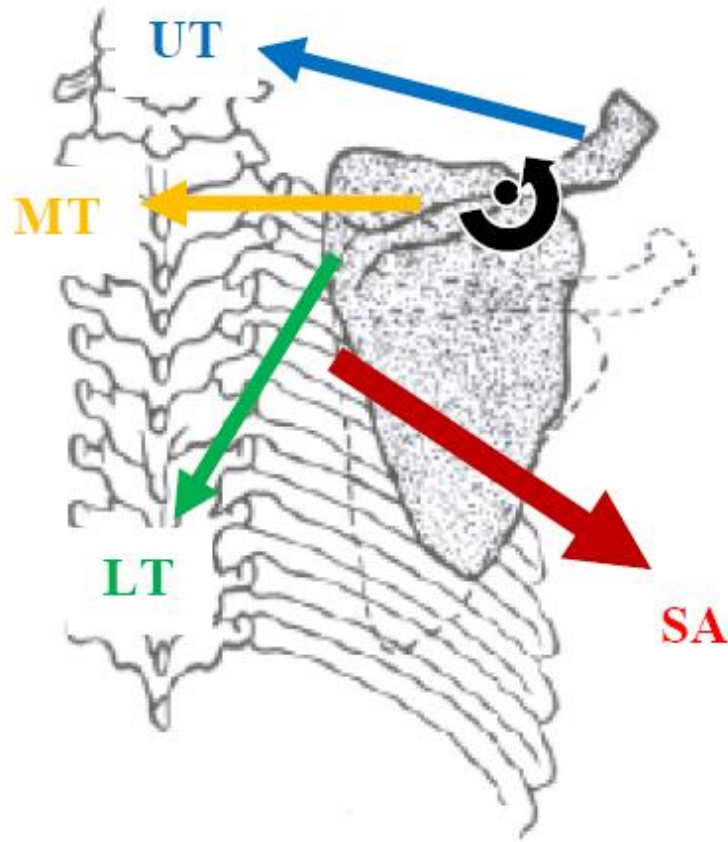


Scapular Dyskinesia

- Scapular dyskinesia: abnormal movement of the scapula
- Scapular dysfunction is found in approximately 70% of rotator cuff injuries and 100% of glenohumeral instability cases (Warner, et al. 1992).



Normal Upward Rotation



- Upward Rotation:
 - ▣ Upper trapezius
 - ▣ Lower trapezius
 - ▣ Serratus anterior
- An appropriate amount of upward rotation allows the shoulder to be elevated above 90°



Serratus Anterior During Throwing

- SA: $>100\%$ MVC during late cocking and acceleration phases
vs.
- UT: activation was minimal throughout all phases
(Gowan, et al. 1987)
- Similar SA findings for swimming and tennis serve (Moynes, et al. 1986)



Scapular Dyskinesia

- A muscle force imbalance between the serratus anterior and upper trapezius causes the scapula to abnormally translate, causing decreased upward rotation (Ludewig and Cook, 2000).



Scapular Dyskinesia

- This form of scapular dyskinesia has been associated with instability, impingement, SLAP lesions and rotator cuff tears (Kibler, 1991; Burkhart and Morgan, 1998; Burkhart, et al. 2000).



Clinical Evidence

- 16 collegiate volleyball players:
 - 13 healthy (all with adequate UR)
 - 3 with PHx shoulder injury (all decreased UR)



Open Kinetic Chain

- Benefits of OKC
 - ▣ Increases ROM
 - ▣ Strengthens isolated muscles
 - ▣ Replicates functional activities



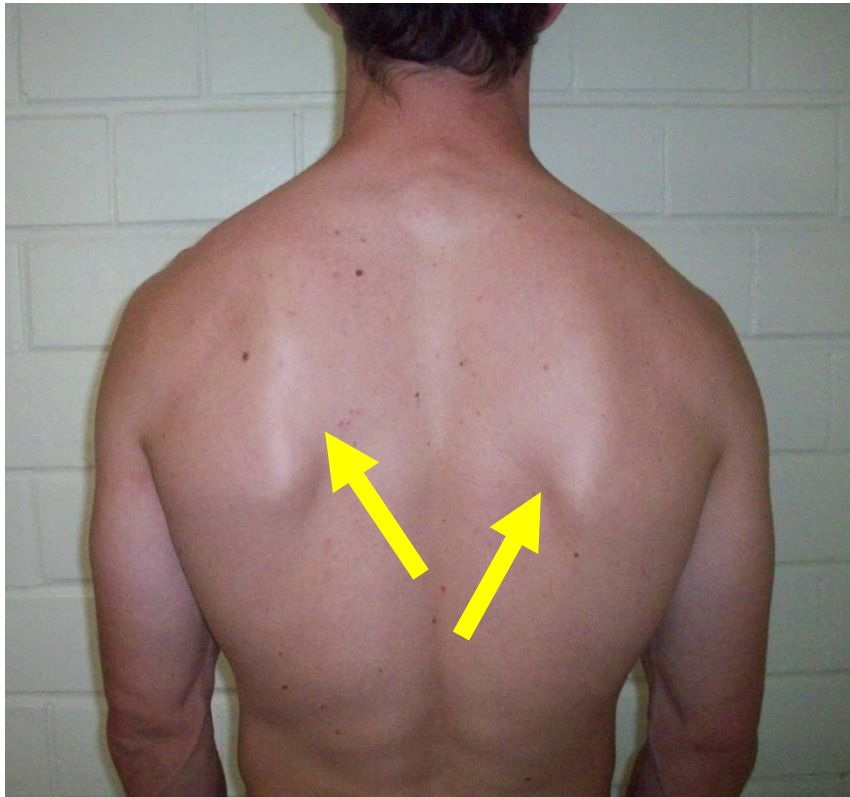
Open Kinetic Chain



- Myers, et al. 2005, evaluated 10 common rubber tubing exercises.
- ER & IR at 0° abduction: serratus anterior activation was 18.0% & 20.5% MVIC.
- Exercises in which the GH joint was elevated at or above 90° elicited higher activation levels ($\approx 66\%$).



Clinical Evidence



- Strength & Conditioning Coach
 - Gradual on-set of s/s
 - Regular upper extremity resistance training, all OKC
 - Weak SA
 - Dx: shoulder impingement syndrome



OKC vs. CKC

- Patients with shoulder impingement: overactive upper trapezius and suppressed serratus anterior (Ludewig and Cook, 2000)
...during OKC activities.
- We don't see the same muscle activation imbalance during CKC exercises (Tucker, et al. 2010).



Closed Kinetic Chain

n = 15 overhead athletes w/ shoulder impingement (SI)

n = 15 overhead athletes w/o shoulder impingement (NP)

Performed 3 CKC exercises

Muscle	SI	NP
Middle trapezius	23.02±19.97	15.14±8.29
Serratus anterior	66.79±34.32	56.66±25.94
Upper trapezius	30.84±33.31	38.78±38.59
Lower trapezius	21.92±12.49	21.94±13.22

Units = %MVIC

(Tucker, et al. 2010)



Closed Kinetic Chain

- Compared to the UT, MT and LT, the serratus anterior consistently elicits the greatest level of activation during CKC exercises (Moseley, et al. 1992; Decker, et al, 1999; Ludewig, et al. 2004; Tucker, et al. 2005, 2008, 2009, 2010; Maenhout, et al. 2010)
- Reached $>80\%$ MVC in some cases



Push-Up

- 66% MVC needed for strength gains (McDonagh and Davies, 1984)
- Push-up variations: Serratus anterior activation was $>66\%$ (Ludewig, et al. 2004; Tucker, et al. 2008; Decker, et al. 1999; Moseley, et al. 1992; Youdas, et al. 2010)



Push-Up Progressions

- Push-up on a BOSU ball greater UT and less SA vs. standard push-up (Tucker, et al. 2010)
- Elevating the feet on a chair during a push-up increased UT and SA activation (Lear and Gross, 1998)



Push-Up Progressions



Wall push-up: $SA \approx 40\%$

Knee push-up: $SA \approx 60\%$

Ludewig, et al. 2004



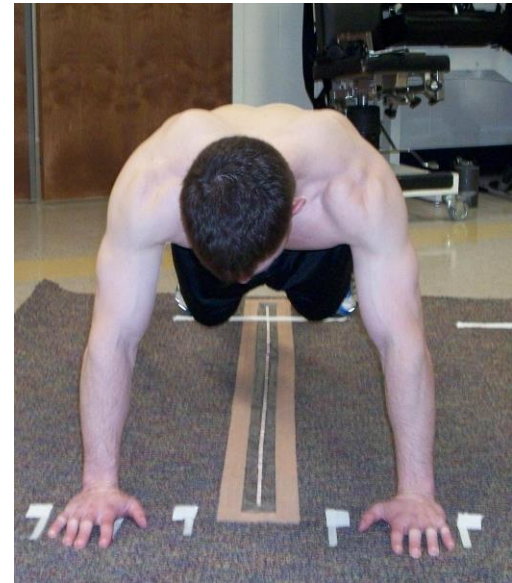
UNIVERSITY OF
CENTRAL
ARKANSAS™

Push-Up Progressions



Push-Up with a Plus

- The addition of full scapular protraction following a push-up



Push-Up with a Plus



Push-Up with a Plus

- SA activation was near 140% during a PU plus (Lear and Gross, 1998)

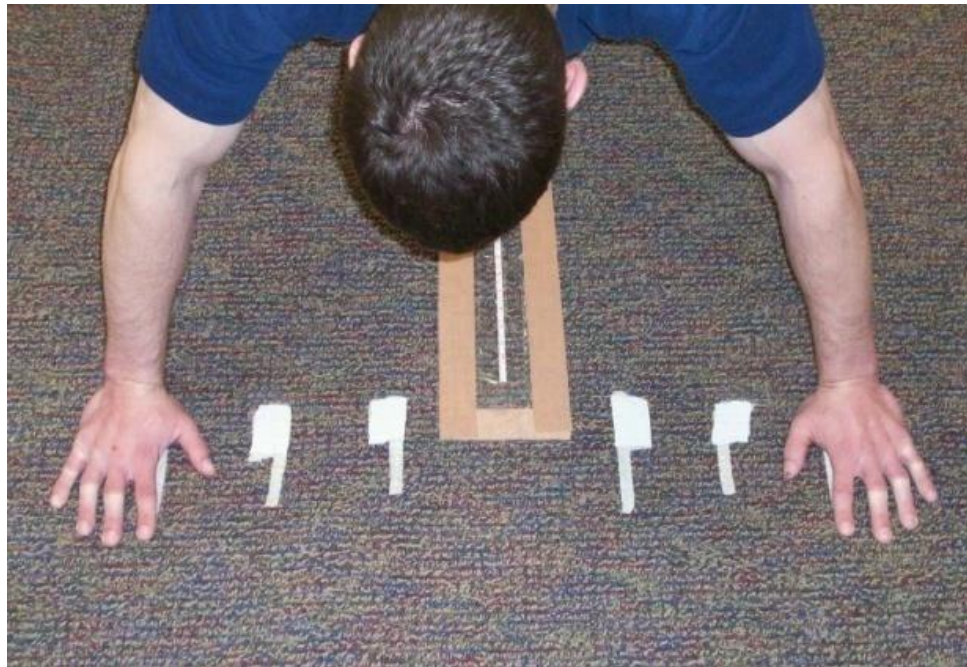
- SA activation:
 - Eccentric PU phase: 70.0%
 - Concentric PU phase: 100.0%
 - Concentric plus phase: 104.0%
 - Eccentric plus phase: 91.6 %

Decker, et al. 1999



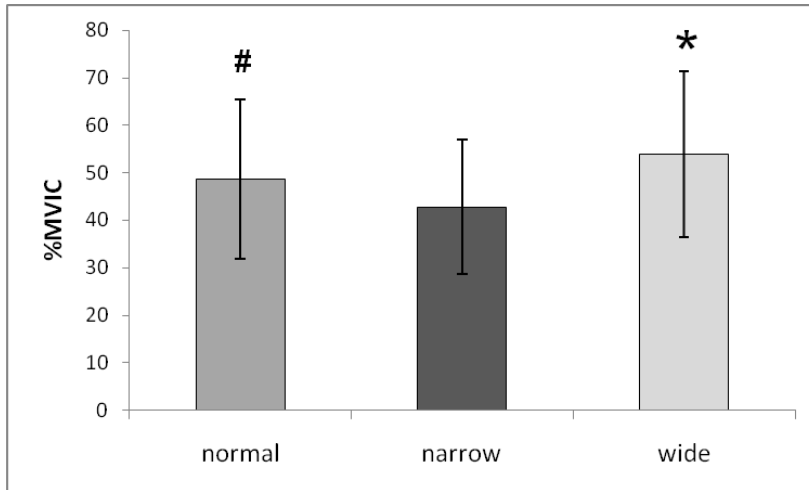
Push-Up Hand Placement

- Push-up with the hands further apart elicited greater serratus anterior activity (Moseley, et al. 1992)

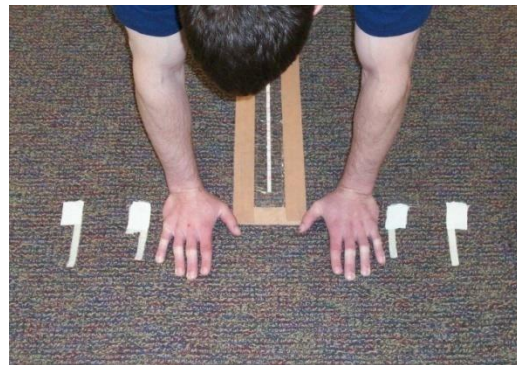
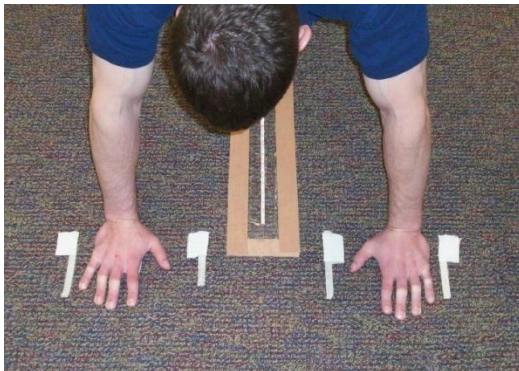
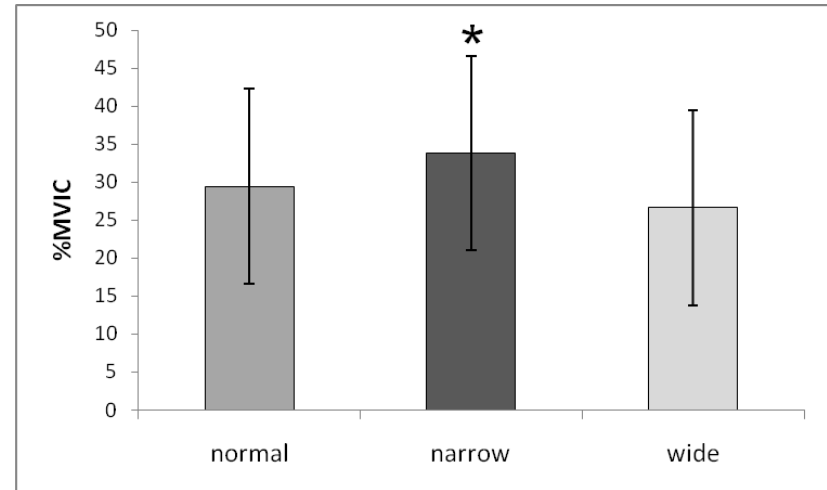


Push-Up Plus Hand Placement (Tucker, et al. 2009)

Serratus Anterior



Lower Trapezius

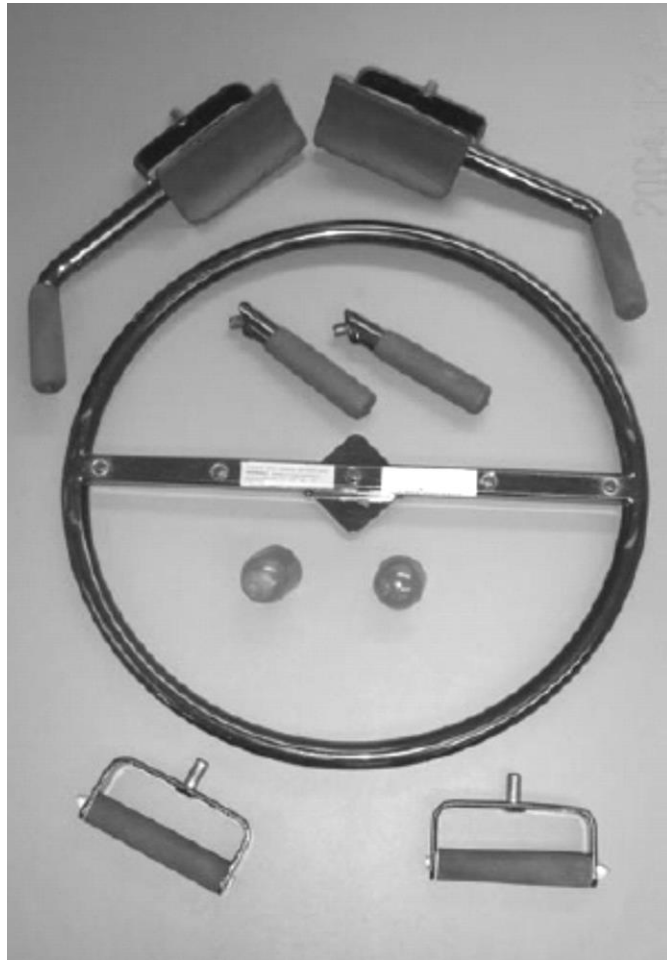


*Random Closed Chain Fact

- If you lift a kangaroo's tail off the ground it can't hop.



Cuff Link



Cuff Link

- SA activation was $>66\%$ (Tucker, et al. 2005, 2008, 2010)
- Greater SA vs. push-up (Tucker, et al. 2010)
- Lower UT, MT & LT activation vs. push-up (Tucker, et al. 2011)
- Lower failure rate vs. push-up (Tucker, et al. 2008)

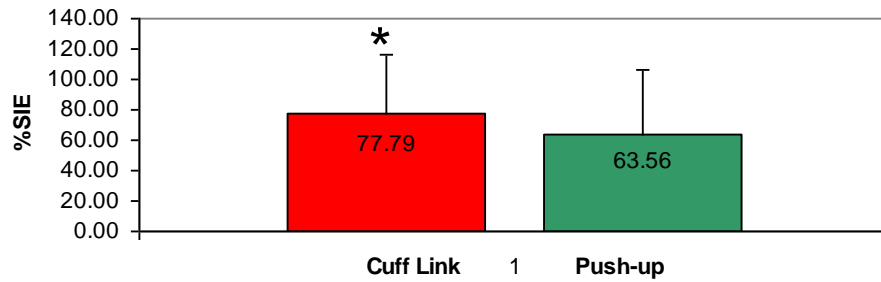


Cuff Link

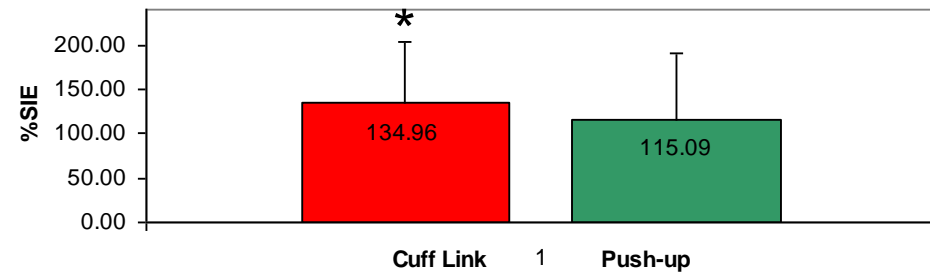


Activation of the Core

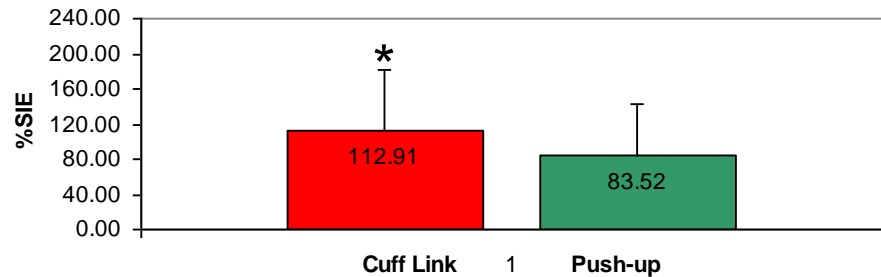
Upper Rectus



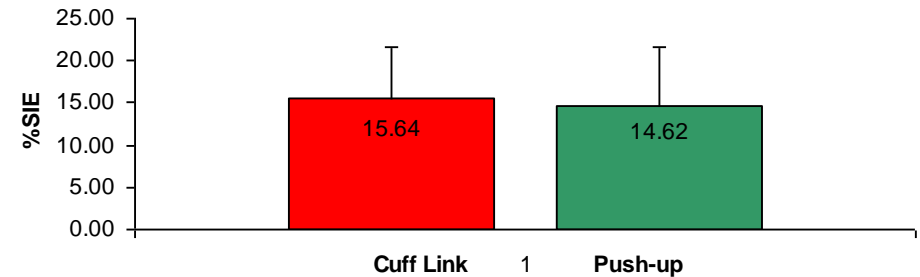
Lower Rectus



External Oblique



Erector Spinae



Tucker, et al. unpublished



Cuff Link Progressions

Non-Weight-Bearing



Partial-Weight-Bearing



Cuff Link Progressions

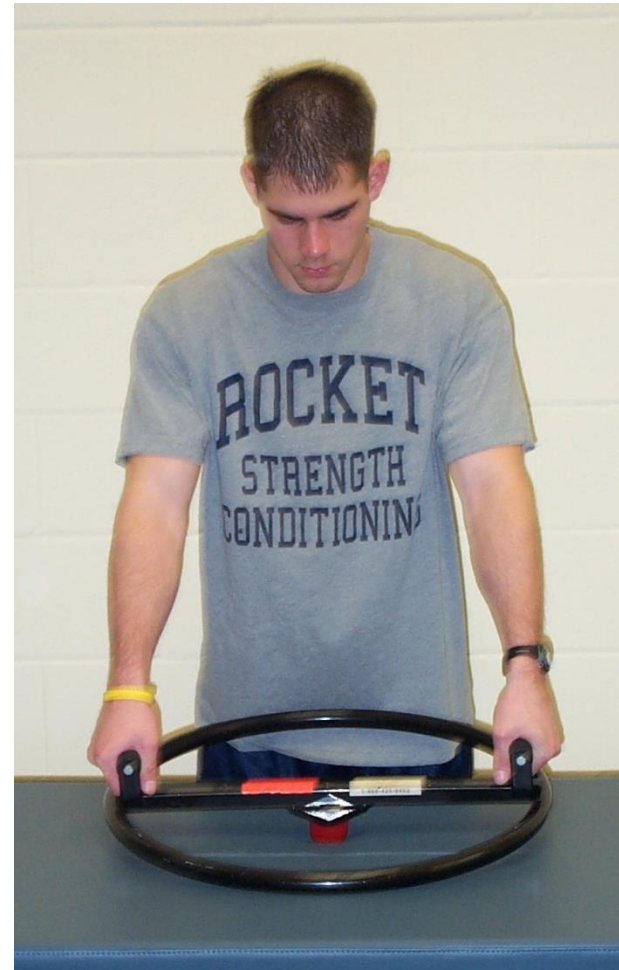
- Serratus Anterior:
activation increased
throughout weight-
bearing progression

- NWB (11.33%)

- PWB (34.45%)

- FWB (81.4%)

(Tucker, et al. 2005)



Cuff Link Progressions

- Upper Trapezius:
activation was minimal
and did not increase
as weight-bearing
progressed.

(Tucker, et al. 2005)

- Push-up progression:
similar results with
slightly higher UT

(Ludewig, et al. 2004)



BOSU Alternative

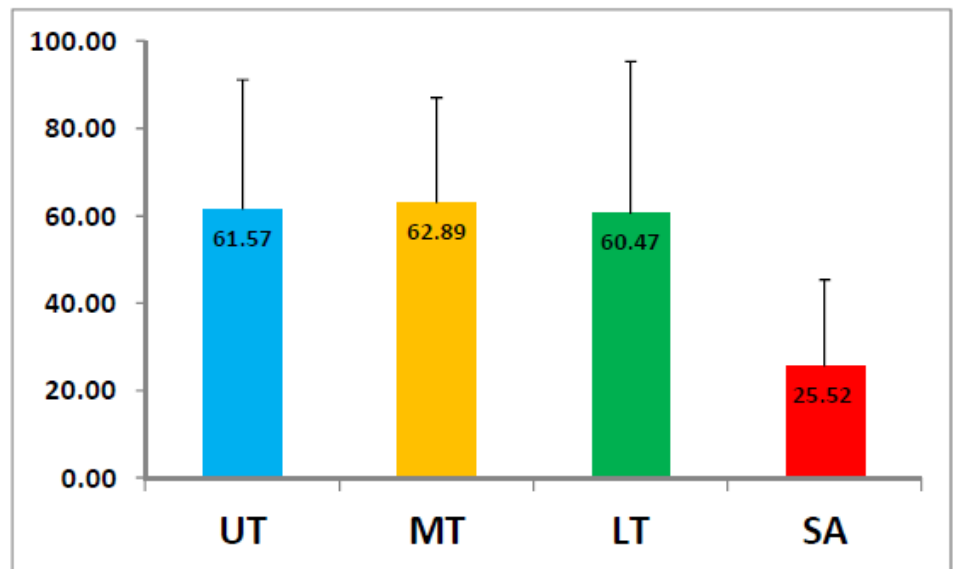


Supine Pull-Up



Supine Pull-Up

- $n = 30$ healthy OH & NOH athletes
- Greater UT, MT and LT activation vs. PU and CL
- High failure rate



Units: %MVIC

(Tucker, et al. 2011)



Chair Press-Up



Chair Press-Up

- Recommended for activation of the pectoralis minor:

- Townsend, et al. 1991

- Evaluated 17 exercises
- PM: 84% MVC

- Moseley, et al. 1992

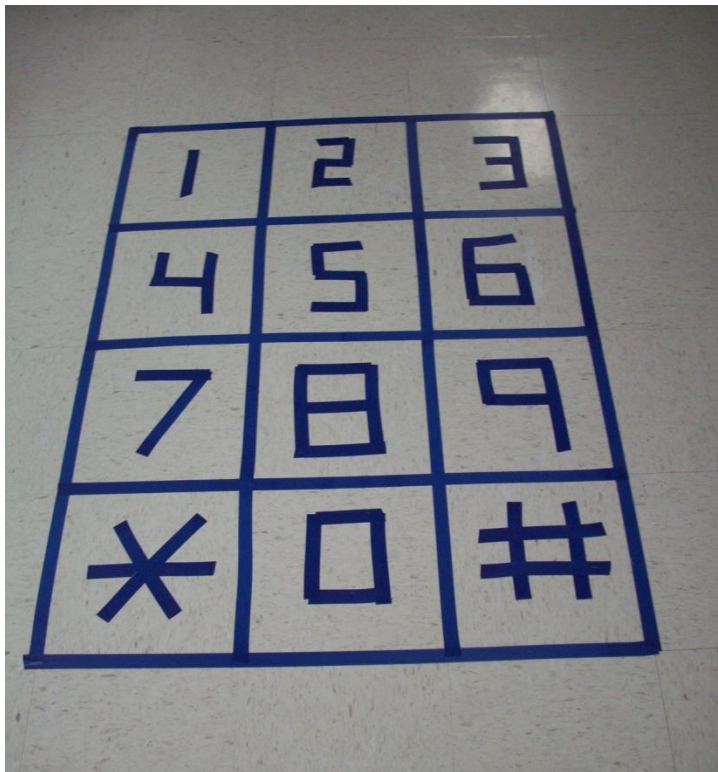
- Evaluated 16 exercises
- PM: 89% MVC



Other CKC Exercises



Number Grid



Number Grid Progression

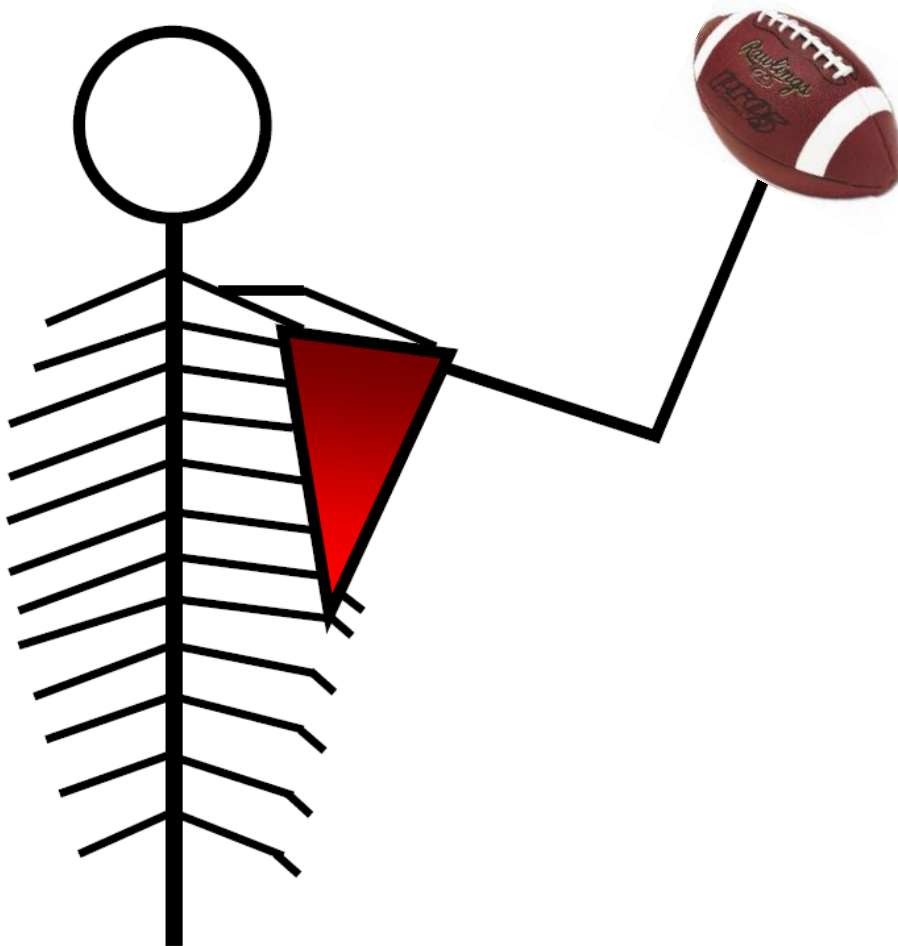


Example Patient

- ❑ Red-shirt sophomore quarterback (September)
- ❑ Bankhart lesion repair previous year (December)
- ❑ Symptoms: shoulder fatigue, weakness, pain, decreased velocity, SI joint/low back pain
- ❑ Signs: anterior shoulder posture, decrease IR and UR, hypertrophy of mid-back



What was going on?



- ❑ Lack of upward rotation and weak scapular stabilizers
- ❑ Decreasing angle of the humerus, causing elbow to drop
- ❑ Shoulder pain and decreased velocity & accuracy
- ❑ SI joint dysfunction



What we did...

- Treatment Plan:
 - ▣ Strengthen and increase endurance of scapular stabilizers
 - ▣ Increase flexibility of pectoralis minor/major
 - ▣ Increase IR
 - ▣ Increase core strength



What we did...

- First 30 days:
 - Stretched: pectoralis minor, posterior RC/capsule
 - OKC ex: resistance bands (IR & ER), PNF, prone retraction, rows, lat pull down, Body Blade[®]
 - CKC ex: push-up w/ plus, chair press-ups, floor and stability ball protraction, Cuff Link[®]
 - Treated SI joint dysfunction: muscle energy, core strength



One-Month Re-Assessment

Initial



1 month



The Outcome

Initial

- Internal Rotation: 59°
- Upward Rotation:
 - ▣ rest: 1.4°
 - ▣ 60° abd: 12.8°
 - ▣ 90° abd: 21.5°
 - ▣ 120° abd: 29.3°

3 months

- Internal Rotation: 75°
- Upward Rotation:
 - ▣ rest: 5.1°
 - ▣ 60° abd: 15.4°
 - ▣ 90° abd: 29.8°
 - ▣ 120° abd: 34.8°



Take Home Points

- Why do CKC for injury prevention and rehab?
 - Activation of the scapular stabilizers
 - A common deficiency
 - Can be progressed
 - Incorporates the entire kinetic chain
 - Hips, trunk and upper extremity



References

- 1. Warner JJ, Micheli LJ, Arslanian LE, Kennedy J, Kennedy R. Scapulothoracic motion in normal shoulders and shoulders with glenohumeral instability and impingement syndrome. A study using Moire topographic analysis. *Clin Orthop Relat Res.* 1992(285):191-199.
- 2. Gowan ID, Jobe FW, Tibone JE, Perry J, Moynes DR. A comparative electromyographic analysis of the shoulder during pitching. Professional versus amateur pitchers. *Am J Sports Med.* 1987;15(6):586-590.
- 3. Moynes DR, Perry J, Antonelli DJ, Jobe FW. Electromyography and motion analysis of the upper extremity in sports. *Phys Ther.* 1986;66(12):1905-1911.
- 4. Ludewig PM, Cook TM. Alterations in shoulder kinematics and associated muscle activity in people with symptoms of shoulder impingement. *Phys Ther.* 2000;80(3):276-291.
- 5. Kibler WB. The role of the scapula in the overhead throwing motion. *Contemp Orthop.* 1991;22:525-532.
- 6. Burkhart SS, Morgan CD. The peel-back mechanism: Its role in producing and extending posterior type II SLAP lesions and its effect on SLAP repair rehabilitation *Arthroscopy.* 1998;14:637-640.
- 7. Burkhart SS, Morgan CD, Kibler WB. Shoulder injuries in overhead athletes: The deadarm revisited *Clin Sports Med.* 2000;19:125-157.
- 8. Myers JB, Pasquale MR, Laudner KG, Sell TC, Bradley JP, Lephart SM. On-the-Field Resistance-Tubing Exercises for Throwers: An Electromyographic Analysis. *J Athl Train.* 2005;40(1):15-22.
- 9. Tucker WS, Armstrong CW, Gribble PA, Timmons MK, Yeasting RA. Scapular muscle activity in overhead athletes with symptoms of secondary shoulder impingement during closed chain exercises. *Arch Phys Med Rehabil.* 2010;91(4):550-556.



References

- 10. Moseley JB, Jr., Jobe FW, Pink M, Perry J, Tibone J. EMG analysis of the scapular muscles during a shoulder rehabilitation program. *Am J Sports Med.* 1992;20(2):128-134.
- 11. Decker MJ, Hintermeister RA, Faber KJ, Hawkins RJ. Serratus anterior muscle activity during selected rehabilitation exercises. *Am J Sports Med.* 1999;27(6):784-791.
- 12. Ludewig PM, Hoff MS, Osowski EE, Meschke SA, Rundquist PJ. Relative balance of serratus anterior and upper trapezius muscle activity during push-up exercises. *Am J Sports Med.* 2004;32(2):484-493.
- 13. Tucker WS, Armstrong CW, Swartz EE, Campbell BM, Rankin JM. An electromyographic analysis of the Cuff Link rehabilitation device. *J Sport Rehabil.* 2005;14:124-136.
- 14. Tucker WS, Campbell BM, Swartz EE, Armstrong CW. Electromyography of 3 scapular muscles: a comparative analysis of the cuff link device and a standard push-up. *J Athl Train.* 2008;43(5):464-469.
- 15. Tucker WS, Gilbert ML, Gribble PA, Campbell BM. Effects of hand placement on scapular muscle activation during the push-up plus exercise. *Athletic Training & Sports Health Care.* 2009;1(3):107-113.
- 16. McDonagh MJ, Davies CT. Adaptive response of mammalian skeletal muscle to exercise with high loads. *Eur J Appl Physiol Occup Physiol.* 1984;52(2):139-155.
- 17. Youdas JW, Budach BD, Ellerbusch JV, Stucky CM, Wait KR, Hollman JH. Comparison of muscle-activation patterns during the conventional push-up and perfect pushup exercises. *J Strength Cond Res.* 2010;24(12):3352-3362.
- 18. Lear LJ, Gross MT. An electromyographical analysis of the scapular stabilizing synergists during a push-up progression. *J Orthop Sports Phys Ther.* 1998;28(3):146-157.
- 19. Tucker WS, Bruenger AJ, Doster CM, Hoffmeyer DR. Scapular muscle activity in overhead and nonoverhead athletes during closed chain exercises. *Clin J Sport Med.* 2011;21(5):405-410.



Thank You



stucker@uca.edu



UNIVERSITY OF
CENTRAL
ARKANSAS™