
PART 2B OF FORM ADV: BROCHURE SUPPLEMENT

Name of Supervised Person:

GREGORY R. THOMPSON

February 17, 2020

Item 1 – Cover Page

Spectrum Management Group, LLC.
600 East 96th Street, Suite 130
Indianapolis, Indiana 46240
Tel: (317) 663-5600
Fax: (317) 663-5610
spectrum-mgmt.com

THIS BROCHURE SUPPLEMENT PROVIDES INFORMATION ABOUT GREGORY R. THOMPSON THAT SUPPLEMENTS THE SPECTRUM MANAGEMENT GROUP, LLC. BROCHURE. YOU SHOULD HAVE RECEIVED A COPY OF THAT BROCHURE. PLEASE CONTACT US AT (317) 663-5600 IF YOU DID NOT RECEIVE A COPY OF THE SPECTRUM MANAGEMENT GROUP, LLC. BROCHURE OR IF YOU HAVE ANY QUESTIONS ABOUT THE CONTENTS OF THIS BROCHURE SUPPLEMENT.

ADDITIONAL INFORMATION ABOUT GREGORY R. THOMPSON IS AVAILABLE ON THE SEC'S WEBSITE AT www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Gregory R. Thompson was born in 1971. Mr. Thompson currently holds the position of Sr. Portfolio Analyst at Spectrum Management Group, LLC. (“**SMG**”). Mr. Thompson was registered as an Associated Person of SMG in February, 2020. Mr. Thompson graduated from Purdue University in 1994 with a Bachelor of Arts, Criminal Justice.

Prior to joining SMG, Mr. Thompson worked for RBC Wealth Management as a Financial Advisor from May, 2012 through February, 2020. Mr. Thompson holds the professional designation of Chartered Market Technician (“CMT”).

A Chartered Market Technician (CMT) is a professional technical analyst that holds the CMT designation organized by the CMT Association (formerly the MTA), a global credentialing body with nearly 50 years of service to the financial industry. Technical analysis provides the tools to navigate the gap between intrinsic value and market price across all asset classes through a disciplined, systematic approach to market behavior and the law of supply and demand. Earning the CMT demonstrates mastery of a core body of knowledge of investment risk in portfolio management; including quantitative approaches to market research and rules-based trading system design and testing. To be granted a Chartered Market Technician (CMT) designation, a candidate must pass three examination levels, complete the membership application process, agree to the CMT Association’s code of ethics, and secure at least three CMT Charterholder references who can speak to the candidate's knowledge, skill and ability in the field of technical analysis.

Item 3 – Disciplinary Information

Mr. Thompson has no legal or disciplinary events to report that would be material to a client’s or prospective client’s evaluation of SMG’s advisory business or the integrity of its management.

Item 4 – Other Business Activities

Mr. Thompson is also engaged as an insurance agent. As such, Mr. Thompson will devote less than 10% of his time to this activity during market hours. Mr. Thompson will recommend these services to advisory clients, as an insurance agent. When recommending these services, Mr. Thompson will be entitled to obtain separate, yet customary additional compensation for these activities. Although Mr. Thompson may recommend these services to clients, clients are free to engage any other insurance agent and are not required to purchase these products or exercise these recommendations.

Item 5 – Additional Compensation

Mr. Thompson, as a licensed insurance agent, may receive additional compensation in the form of sales incentives, bonuses or awards for the sale of insurance products to both advisory and non-advisory clients.

Item 6 – Supervision

Mr. Thompson is supervised by Leslie D. Thompson, the Managing Principal and Chief Compliance Officer of SMG. Ms. Thompson may be contacted at (317) 663-5600. Mr. Thompson is required to adhere to SMG's written supervisory policies and procedures, Code of Ethics, and investment advisory agreement with each client. Additionally, SMG monitors the advice and advisory services Mr. Thompson provides to clients by performing ongoing and periodic reviews of account opening documentation, transactions in client accounts, and correspondence that Mr. Thompson sends and receives from clients, as in accordance with SMG's written supervisory policies and procedures. SMG also monitors Mr. Thompson's advice in an effort to ensure that investments are suitable for her individual clients and consistent with their individual needs, goals, objectives, and risk tolerance, as well as any restrictions requested by SMG's clients.

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