



A B U N D A N T
A D V I S O R S

Privacy Policy

Abundant Advisors, LLC (AA) recognizes that our relationships with current and prospective clients are based on integrity and trust. We work hard to maintain your privacy and to preserve the private nature of our relationship with you. We place the highest value on the information you share with us. AA will not disclose your personal information to anyone unless it is required by law or at your direction. We will not sell your personal information. AA will provide this privacy statement to all clients annually.

We want our clients to understand what information we collect, how we use it, and how we protect their personal information.

Why We Collect Your Information

We gather information about you to 1) Help design and implement the investment and planning-related services we provide you; and 2) Comply with the Federal and State laws and regulations that govern us.

What Information do We Collect and Maintain

We may collect the following “nonpublic personal information” about you: 1) Information from our initial meeting or subsequent consultations about your identity, such as your name, address, social security number, date of birth, and financial information. 2) Information that we generate to service your financial needs. 3) Information that we may receive from third parties with respect to your financial profile.

What Information We Disclose

We are legally permitted to disclose nonpublic information about you to unaffiliated third parties in certain circumstances. For example, to provide planning or investment management services to you, we may disclose

your personal information in limited circumstances to various service providers, such as our clearing firm or independent contractors hired by AA.

AA will not disclose any personal information about you or your account(s) unless one of the following conditions is met: 1) We receive your prior written consent, or 2) We have documentation that the recipient is your authorized representative, or 3) We are required by law to disclose information to the recipient

Arrangements with companies or independent contractors not affiliated with AA will be subject to confidentiality agreements.

How We Protect Your Personal Information

Privacy has always been important to AA. We restrict and limit access to client information only to those who need to carry out their business functions. We maintain physical, electronic, and procedural safeguards to protect confidential personal information.

Business Continuity

We have adopted a Business Continuity Plan outlining strategies during a significant business disruption, including losing AA's key principal. In the event of death or incapacitation, AA has a signed Confidentiality Agreement with a designated individual in order to share client information. This information will be used to make an orderly transition of client relationships and make any necessary changes to the client's investment account(s) and/or financial plan.

Your receipt of this Privacy Policy acknowledges that you accept sharing of your contact information with this individual in the event of the death or incapacity of AA's principal (Leo Marte).