

# Largest Indianapolis-Area Financial Planning Firms

(Ranked by number of local certified planning professionals)

RANK 2013 rank	FIRM ADDRESS TELEPHONE / FAX / WEBSITE	LOCAL CERTIFIED PLANNING PROFESSIONALS *	LOCAL FTE EMPLOYEES / LOCAL OFFICES	% OF BILLINGS BUSINESS / % OF BILLINGS PERSONAL	SPECIALTIES	HEAD(S) OF LOCAL OPERATIONS, TITLE(S)	ESTABLISHED LOCALLY
<b>1</b> NR	<b>Northwestern Mutual—Indiana</b> 111 Monument Circle, Suite 3800, 46204 (317) 238-6500 / DND / indy.nm.com	74	DND 5	DND DND	financial, long-term and estate planning; investment strategies	David D. Kiecker, managing partner	1890
<b>2</b> 2	<b>JPMorgan Chase Bank NA</b> 1 E. Ohio St., 46204 (317) 321-2920 / DND / jpmorganchase.com	60	2,588 10	DND DND	retirement and traditional planning, investment and wealth management	Al Smith, managing director	1834
<b>3</b> 3	<b>WestPoint Financial Group</b> 900 E. 96th St., Suite 300, 46240 (317) 469-9999 / (317) 469-2500 / westpointfinancialgroup.com	53	127 4	40 60	comprehensive planning	Gregory A. McRoberts, managing partner	1948
<b>4</b> 5	<b>Schwab Private Client Investment Advisory</b> 8332 Woodfield Crossing Blvd., 46240 (800) 435-4000 / DND / schwab.com	52	108 1	DND DND	investment planning and management	Susan Strelow, managing director	2002
<b>5</b> 9	<b>The National Bank of Indianapolis</b> 107 N. Pennsylvania St., Suite 700, 46204 (317) 261-9000 / (317) 261-9696 / nbofi.com	46	288 12	DND DND	investment management, retirement planning, trust and estate services	Morris L. Maurer, president, CEO	1993
<b>6</b> 4	<b>Morgan Stanley Wealth Management</b> 800 E. 96th St., Suite 400, 46240 (317) 818-7300 / (317) 818-7440 / morganstanley.com	32	142 2	DND DND	full-service brokerage	Kathy J. Birk, executive director	1966
<b>7</b> NR	<b>Oxford Financial Group Ltd.</b> 11711 N. Meridian St., Suite 600, Carmel 46032 (317) 843-5678 / (317) 843-5679 / ofgtid.com	27	119 1	DND DND	family office, wealth management, trust and investment services	Jeffrey H. Thomasson, CEO, managing director	1981
<b>8</b> 12	<b>City Securities Corp.</b> 30 S. Meridian St., Suite 600, 46204 (317) 634-4400 / (317) 972-7150 / citysecurities.com	23	190 3	DND DND	wealth management and advisory services for families, foundations, endowments and other institutions	Michael E. Bosway, president, CEO	1924
<b>9</b> 8	<b>Valeo Financial Advisors LLC</b> 9450 N. Meridian St., Suite 300, 46260 (317) 218-6000 / (317) 218-6010 / valeofinancial.com	21	29 1	5 95	comprehensive financial planning; wealth and retirement plan management; investment advice; estate, education, tax and charitable planning	John C. Trott, John T. Wortman, partners	2002
<b>10</b> 9	<b>Raymond James and Associates Inc.</b> 1310 E. 96th St., Suite 200, 46240 (317) 573-1777 / (317) 573-1799 / raymondjames.com	17	104 7	DND DND	investment and financial planning	Joseph F. Touchton, SVP, complex manager	1998
<b>11</b> 9	<b>Ronald Blue &amp; Co.</b> 9229 Delegates Row, Suite 200, 46240 (317) 582-0700 / (317) 582-0701 / ronblue.com	16	35 2	10 90	integrated financial planning and wealth management with emphasis on charitable giving	Aaron Klopfenstein, managing director	1988
<b>12</b> NR	<b>UBS Financial Services Inc.</b> 8888 Keystone Crossing, 10th floor, 46240 (317) 816-0800 / (317) 816-1888 / ubi.com	13	60 2	DND DND	wealth management	Jon P. Ramey, complex director, Indiana	1954
<b>13</b> 7	<b>Semler Financial Group Inc.</b> 11711 N. Meridian St., Suite 350, Carmel 46032 (317) 569-7600 / (317) 569-7601 / sfg-in.com	12	27 1	100 0	life insurance, annuities, 401(k)s, IRAs, financial and estate planning	Jane Weller, office manager	1954
<b>14</b> NR	<b>Money Concepts Wealth Management &amp; Financial Planning</b> 7828 E. 88th St., 46256 (317) 841-0370 / (317) 841-1471 / MoneyConcepts.com	11	13 1	2 98	recruiting, training and helping financial professionals	James B. Moss, Michael Roby, regional directors	1985
<b>15</b> 14	<b>Column Capital</b> 3815 River Crossing Parkway, Suite 340, 46240 (317) 663-6500 / (317) 663-6501 / columncapital.com	10	15 1	5 95	investment management; tax preparation; retirement, estate and wealth transfer planning; insurance needs analysis; education funding; stock options and company benefits	Brian W. Upchurch, president, managing director	2005
<b>15</b> NR	<b>Wells Fargo Advisors LLC</b> 8888 Keystone Crossing, Suite 400, 46240 (317) 844-4850 / (317) 573-9299 / wells Fargoadvisors.com	10	120 3	DND DND	advisory and brokerage services, asset management, estate planning strategies, retirement planning, portfolio analysis and monitoring	Gerald S. Berg, managing director, market manager	1965
<b>17</b> 6	<b>AXA Advisors LLC</b> 9465 Counselors Row, Suite 120, 46240 (317) 841-7500 / (317) 578-0075 / indiana.axa-advisors.com	9	30 1	DND DND	individual, business, estate and retirement financial planning; insurance, annuities and related financial services	Christopher W. Dolle, senior executive VP	1859
<b>18</b> 16	<b>Capital Analysts of the Midwest</b> 9951 Crosspoint Blvd., 46256 (317) 576-9644 / (317) 576-0915 / camidwest.com	8	14 1	50 50	401(k) retirement plans, estate and charitable planning, investment management, business succession	Stephen J. Schug, managing principal	1987
<b>18</b> 13	<b>Financial Partners Group Inc.</b> 3610 River Crossing Parkway, Suite 200, 46240 (317) 573-5100 / (317) 573-5113 / financialpg.com	8	45 2	DND DND	retirement, special needs and estate planning; divorce financial analysis; fee- and non-fee-based	Timothy L. Johnston, managing partner	2002
<b>20</b> 16	<b>Bedel Financial Consulting Inc.</b> 3815 River Crossing Parkway, Suite 120, 46240 (317) 843-1358 / (315) 574-5999 / bedelfinancial.com	7	16 1	15 85	comprehensive financial planning, wealth management, retirement plan consulting	Elaine E. Bedel, president, founder	1989
<b>20</b> 15	<b>The Windsor Group Ltd.</b> 500 E. 96th St., Suite 450, 46240 (317) 848-3005 / (317) 844-9614 / windsor-group.com	7	13 1	10 90	wealth management and planning, portfolio management	Randy Clark, managing principal	1986
<b>22</b> NR	<b>David A. Noyes &amp; Co.</b> 250 W. 96th St., Suite 300, 46260 (317) 634-6563 / (317) 633-1748 / danoyes.com	6	48 2	DND DND	fee-based asset management, insurance, mortgages, annuities, investment banking, private equity	Mark W. Damer, EVP wealth management	1938
<b>23</b> 19	<b>Avalon Wealth Advisory</b> 9775 Crosspoint Blvd., Suite 110, 46256 (317) 814-4790 / (317) 814-4791 / avalonwealth.com	5	8 1	DND DND	business succession, charitable estate planning, risk management, investment advisory services	Jeffrey A. Moheban, CFP	1999
<b>23</b> 19	<b>Deerfield Financial Advisors Inc.</b> 8440 Woodfield Crossing Blvd., Suite 360, 46240 (317) 469-2455 / (317) 469-2460 / deerfieldfa.com	5	16 1	20 80	cash flow and net worth analysis; risk management; employee benefits planning; investment management; income tax, estate, retirement and education planning	Richard L. Bellmer, CEO	1985
<b>23</b> 19	<b>Quantum Retirement Consultants</b> 8395 Keystone Crossing, Suite 300, 46240 (317) 472-9336 / (317) 466-0399 / vcatania.retirementtime.com	5	6 1	20 80	holistic financial planning, retirement advice, Social Security and retirement income planning	Vincent J. Catania, president	1987
<b>23</b> 23	<b>Somerset CPAs PC</b> 3925 River Crossing Parkway, third floor, 46240 (317) 472-2200 / (317) 208-1200 / somersetcpas.com	5	156 1	10 90	tax-advantaged strategies for wealth accumulation and preservation, full-service for individuals and businesses	Patrick J. Early, president	1960

\*employees with the designation of CFP, ChFC, CLU, ChMC, CFA or other

CFP=chartered financial analyst  
CFP=certified financial planner  
ChFC=chartered financial consultant  
ChMC=certified investment management consultant  
CLU=chartered life underwriter  
DND=did not disclose

EVP=executive vice president  
FTE=full-time equivalent  
IRA=individual retirement account  
LLC=limited liability company  
NA=national association  
NR=not ranked  
PC=professional corporation  
SVP=senior vice president

Some firms may have been omitted due to lack of information or deadline restrictions.

The Indianapolis Business Journal list of largest Indianapolis-area financial planning firms is the most comprehensive available. For copies of this list, go to [www.IBJ.com](http://www.IBJ.com) and click on the "lists" link.

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Information was provided by the individual firms and has not been independently verified.

Top 25 lists are compiled each December in the Book of Lists.