Largest Indianapolis-Area Financial Planning Firms

(Ranked by number of local certified planning professionals)

RANK *2019 rank*	FIRM ADDRESS TELEPHONE / WEBSITE	LOCAL CERTIFIED PLANNING PROFESSIONALS (1)	LOCAL: FTE EMPLOYEES OFFICES	% OF BILLINGS BUSINESS PERSONAL	SPECIALTIES	HEAD(S) OF LOCAL OPERATIONS, TITLE(S)	EST. LOCALLY
1 *1*	**Schwab Private Client Investment Advisory** 8332 Woodfield Crossing Blvd., 46240 800-435-4000 / schwab.com	87	138 1	DND DND	wealth management	Robert M. Hammond, VP, planning and portfolio group	2002
2 *3*	**Morgan Stanley Wealth Management** 800 E. 96th St., Suite 400, 46240 317-818-7300 / morganstanley.com	65	100 2	DND DND	full-service asset management	Michael L. Green, executive director	1966
3 *2*	**Northwestern Mutual Indiana (2)** 111 Monument Circle, Suite 3800, 46204 317-238-6500 / indy.nm.com	52	200 (3) 5	DND DND	financial, long-term and estate planning; investment strategies	David D. Kiecker, managing partner	1890
4 *7*	**WestPoint Financial Group** 900 E. 96th St., Suite 300, 46240 317-469-9999 / westpointfinancialgroup.com	51	187 5	40 60	wealth accumulation planning and investment management	Gregory A. McRoberts, managing partner	1948
5 *3*	**Merrill Lynch** 510 E. 96th St., Suite 500, 46240 317-848-2100 / local.ml.com/carmel_in	45	225 7	DND DND	DND	Mark Ricca, director, market executive	1947
5 *6*	**Valeo Financial Advisors LLC** 9450 N. Meridian St., Suite 300, 46260 317-218-6000 / valeofinancial.com	45	65 1	5 95	wealth and retirement plan management; investment advice; estate, education, tax and charitable planning	John C. Trott, John T. Wortman, partners	2002
7 *5*	**Stifel** 8900 Keystone Crossing, Suite 300, 46240 317-706-1420 / stifel.com	42	95 4	DND DND	wealth management, investments, retirement and estate planning, managed accounts, investment banking	Ted Sturges, Malcolm Frost, Stephen Warner, branch managers	2002
8 *8*	**Oxford Financial Group Ltd.** 11711 N. Meridian St., Suite 600, Carmel 46032 317-843-5678 / ofgltd.com	34	119 1	DND DND	family office, wealth management, trust and investment services	Jeffrey H. Thomasson, managing director, CEO	1981
9 *9*	**Wells Fargo Advisors** 8888 Keystone Crossing, Suite 400, 46240 317-844-4850 / wellsfargoadvisors.com	30	90 3	DND DND	advisory and brokerage services, asset management, estate and retirement planning, portfolio analysis and monitoring	Gerald S. Berg, managing director, market manager	1965
10 *9*	**BMO Harris Bank** 135 N. Pennsylvania St., 46204 317-269-1304 / bmoharris.com	25	390 33	DND DND	investment management	Chris Michalski, regional president	2007
10 *13*	**Raymond James and Associates Inc.** 11611 N. Meridian St., Suite 600, Carmel 46032 317-573-1777 / raymondjames.com	25	139 20	DND DND	investment and retirement planning, wealth and asset management, divorce financial analysis	Patrick O'Donovan, central Indiana complex manager	1998
12 *12*	**Ronald Blue Trust** 9229 Delegates Row, Suite 200, 46240 317-582-0700 / ronblue.com	24	42 2	10 90	retirement, charitable giving strategies, tax planning, estate and trust services, investment management, family office, institutional services	Aaron Klopfenstein, managing director	1988
13 *9*	**UBS Financial Services Inc.** 8801 River Crossing Blvd., Suite 400, 46240 317-816-0800 / ubs.com	20	62 2	DND DND	wealth management	Kristin Popovic, branch manager	1954
14 *14*	**JPMorgan Chase & Co.** 1 E. Ohio St., 46204 317-321-7020 / jpmorganchase.com	18	1,700 67	DND DND	retirement and traditional planning, investment and wealth management	Al Smith, chairman of Indiana	1834
15 *15*	**Sanctuary Wealth** 250 W. 96th St., Suite 300, 46260 317-975-7729 / sanctuarywealth.com	17	81 3	10 90	fee-based asset management, insurance, mortgages, annuities, investment banking, private equity	Jim Dickson, CEO; Michael H. Longley, chief administrative officer	1938
16 *18*	**Bedel Financial Consulting Inc.** 8940 River Crossing Blvd., Suite 120, 46240 317-843-1358 / bedelfinancial.com	13	20 1	0 100	comprehensive financial planning and investment management	Evan Bedel, director of finance and strategy	1989
17 *16*	**Column Capital** 3815 River Crossing Parkway, Suite 340, 46240 317-663-6500 / columncapital.com	10	20 1	5 95	investment management; tax planning; retirement, estate and wealth transfer; insurance needs; education funding; executive compensation	Brian W. Upchurch, president, managing director	2005
17 *20*	**The National Bank of Indianapolis Corp.** 107 N. Pennsylvania St., Suite 700, 46204 317-261-9000 / nbofi.com	10	320 13	DND DND	investment management, personal trusts and estates, retirement plan services	Douglas A. Tirmenstein, first VP, manager	1993
17 *19*	**Windsor Wealth Management** 500 E. 96th St., Suite 450, 46240 317-848-3005 / windsorwealth.com	10	17 1	30 70	wealth management and planning, portfolio management	Randy Clark, managing principal	1986
20 *23*	**Castle Wealth Advisors LLC** 9820 Westpoint Drive, Suite 200, 46256 317-849-9559 / castle3.com	8	14 1	30 70	closely held business succession; generational, retirement, tax planning; charitable gifting; company benefits; business valuations; asset management	Gary L. Pittsford, president, CEO	1973
20 *22*	**Market Street Wealth Management Advisors LLC** 3105 E. 98th St., Suite 170, 46280 317-860-1078 / mswma.com	8	11 1	6 94	tax-focused investment management, retirement planning	Kevin J. Ervin, managing partner	2001
22 *NR*	**Avalon Wealth Advisory** 9775 Crosspoint Blvd., Suite 110, 46256 317-814-4790 / avalonwealth.com	7	11 1	0 100	business succession, charitable estate planning, risk management, investment advisory services	Jeffrey A. Moheban, managing director	1999
22 *23*	**Shepherd Financial** 111 Congressional Blvd., Suite 100, Carmel 46032 317-975-5033 / shepherdfin.com	7	17 1	85 15	retirement plans, individual wealth management	Steve Wylam, Tom Mayer, Drew Denny, partners	2015
24 *NR*	**PNC Investments** 11405 N. Pennsylvania St., Suite 200, Carmel 46032 317-566-5588 / pnc.com	6	DND 69	DND DND	commodities, municipal bonds, mutual funds, options, corporate finance, asset management, insurance, tax-advantaged partnerships, underwriting, research	Daniel Kurowski, regional sales manager	1996
25 *23*	**Biechele Royce Advisors Inc.** 11634 Maple St., Suite 300, Fishers 46038 317-913-7000 / biechele-royce.com	5	10 1	20 80	investment management and alternative investments	Justin B. Whelan, president	1994
25 *NR*	**Elements Wealth Management** 225 S. East St., Suite 156, 46202 888-425-0374 / elements.org	5	11 1	5 95	comprehensive financial and retirement planning, portfolio management, insurance, liabilities	Matthew R. Snively, SVP, wealth management	1997
25 *NR*	**KeyBank** 10 W. Market St., Suite 900, 46204 317-464-8008 / key.com	5	193 DND	DND DND	customized financial plans, asset allocation, risk management, retirement and estate planning	Juan F. Gonzalez, central Indiana market president	1972

(1) employees with the designation of CFP, ChFC, CLU, CIMC, CFA or other
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(3) Employees are independent contractors of Northwestern Mutual.

CFA=chartered financial analyst
CFP=certified financial planner
ChFC=chartered financial consultant
CIMC=certified investment management consultant
CLU=chartered life underwriter
DND=did not disclose
FTE=full-time equivalent
LLC=limited liability company
NR=not ranked
SVP=senior vice president

Some firms may have been omitted due to lack of information or deadline restrictions.

The Indianapolis Business Journal list of largest Indianapolis-area financial planning firms is the most comprehensive available. For copies of this list, go to www.IBJ.com and click on the "lists" link.

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Information was provided by the individual firms and has not been independently verified.

Top 25 lists are compiled each December in the Book of Lists.