

**CRD # 8146111****WEBSITE****PHONE****ADDRESS**

24 E Main St  
Bozeman, MT 59715

## **Item 2: Educational Background and Business Experience**

Born 1986

### **Post-Secondary Education**

2020 | Master of Science – Accounting | Syracuse University

2010 | Master of Public Administration | Appalachian State University

2008 | Bachelor of Science – Criminal Justice | Appalachian State University

### **Recent Business Background**

XYPN Invest, Investment Advisor Representative | 08/2025 – Present

Setzer Financial, Founder | 08/2025 – Present

Percy Street Properties, Owner/Partner | 08/2022 – Present

Raven Road Properties, Owner/Partner | 08/2022 – Present

Whitley, Hyder and Sigmon CPAs, Accountant | 08/2021 – 07/2025

United States Army, Major | 01/2021 – Present

Setzer Construction, Treasurer | 11/2020 – Present

### **Professional Designations**

Cole Setzer holds the Certified Public Accountant (CPA) designation. CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, CPAs are educated in theory and practice in the fields of accounting, auditing and attestation, compilation, management advisory, financial advisory, tax and consulting skills.

In order to hold the CPA designation, the certificant must meet the state's mandated educational requirements, pass the state CPA examination, renew the license every three years, and complete at least 40 hours of continuing professional education per year in the recognized subject areas.

## **Item 3: Disciplinary Information**

XYPN Invest is required to disclose information regarding any legal or disciplinary events material to a client's evaluation of Cole Setzer. XYPN Invest has no information to disclose in relation to this Item.

## **Item 4: Other Business Activities**

XYPN Invest is required to disclose information regarding any investment-related business or occupation in which Cole Setzer is actively engaged.

Cole Setzer is not actively engaged in any other investment-related business or occupation. He is an Army Reserve Officer which entails one weekend per month and two weeks annually of training under normal circumstances. He also serves as the treasurer of Setzer Construction, which occupies 5 hours per month, 0 of which are trading hours. In addition, he is an owner/partner in property rentals which occupies 36 hours per month, 0 of which are trading hours.

Cole Setzer was elected to the Catawba County board of Commissioners in 2022, this occupies 25 hours per month, 0 of which are trading hours. This role could present a conflict of interest as the county chooses contracts or makes decisions that impact clients, potential clients, or the public at large. The XYPN Invest Code of Ethics requires disclosures of any donations or gifts and the proactive reporting of real, potential, or perceived conflicts of interest for our advisors. Due to the nature of this elected position, additional oversight is allocated to reviewing Cole Seltzer's disclosures and reporting.

### **Item 5: Additional Compensation**

XYPN Invest is required to disclose information regarding any arrangement under which Cole Setzer receives an economic benefit from someone other than a client for providing investment advisory services. XYPN Invest has no information to disclose in relation to this Item.

### **Item 6: Supervision**

Cole Setzer is supervised and monitored by Buddy Griffiths, Chief Compliance Officer, pursuant to XYPN Invest's written policies and procedures and code of ethics. Buddy Griffiths may be reached using the contact information on the cover page of this brochure supplement.