



## TD Ameritrade Tax Form Availability

### Schedule for Receiving Tax Forms

TD Ameritrade uses a phased approach to get forms out quickly and minimize corrections. The first phase of Consolidated 1099s are issued in mid-January 2024, and all 1099s are issued by February 29, 2024. If your portfolio includes certain types of securities that tend to reallocate or reclassify distributions (for example, mutual funds and real estate investment trusts [REITs]), it's likely your form may be issued at the end of February to avoid a correction.

All the forms in the following charts are official tax documents that contain information that we are required to report to you and to the Internal Revenue Service (IRS). You'll only receive the forms that apply to your particular financial situation, and we suggest that you consult with a tax advisor about using the forms to prepare your tax return.

### Consolidated 1099 Availability

Name	What's reported	Available date
<b>Consolidated 1099</b>	All reportable income and transactions for the year. Depending on your account activity, your 1099 may include: Form 1099-B, Form 1099-DIV, Form 1099-INT, Form 1099-MISC, and Form 1099-OID.	All 1099s will be available between January 19, 2024, and February 29, 2024.
<b>1099-B</b>	Sales transactions, cover short transactions, closing options transactions, redemptions, tender offers, and mergers for cash.	
<b>1099-DIV</b>	Ordinary dividends of \$10 or more from U.S. and foreign corporations, capital gains distributions, mutual fund dividends, federal and foreign tax withheld, and non-taxable distributions.	
<b>1099-INT</b>	Interest income of \$10 or more; federal and foreign tax withheld.	
<b>1099-MISC</b>	Rent or royalty payments, and substitute payments of \$10 or more, and other income totaling \$600 or more.	
<b>1099-OID</b>	Original issue discounts (OIDs) on corporate bonds, certificates of deposit (CDs), collateralized debt obligations (CDOs), and U.S. government obligations of \$10 or more.	

### Additional Tax Form Availability

Name	What's reported	Available date
<b>1099-Q</b> Payments from Qualified Education Programs (under Sections 529 and 530)	Distributions from qualified tuition programs and Coverdell ESA.	January 31, 2024

## Additional Tax Form Availability (Continued)

Name	What's reported	Available date
<b>1099-R</b> Distributions from Pensions, Annuities, Retirement or Profit-Sharing Plans, etc.	Distributions from qualified retirement plans (for example, individual 401(k), profit-sharing, and money-purchase plans), or any IRAs or IRA recharacterizations, conversions, or removals of excess contributions.	January 31, 2024
<b>480.6a</b> Informative Return – Income Not Subject to Withholding (Puerto Rico residents only)	Payments to residents of Puerto Rico—such as dividends, interest, partnership distributions, long-term gains, liquidations, and gross proceeds—that did not have Puerto Rico tax withheld. These payments can be from a Puerto Rico or non-Puerto Rico source.	February 28, 2024
<b>480.6b</b> Informative Return – Income Subject to Withholding (Puerto Rico residents only)	Puerto Rico source income (generally dividends and interest); this is subject to Puerto Rico “at source” withholding (also known as foreign tax).	February 28, 2024
<b>REMIC</b> (Real Estate Mortgage Investment Conduit)	Interest and original issue discount from a REMIC. The information reported on this form is in addition to the interest and OID as shown on your Consolidated 1099.	March 15, 2024
<b>1042-S</b> Foreign Person's U.S. Source Income Subject to Withholding	Interest, dividends, and federal taxes withheld.	March 15, 2024
<b>2439</b> Notice to Shareholders of Undistributed Long-Term Capital Gains	Undistributed capital gains from mutual funds and REITs.	April 1, 2024
<b>5498-ESA</b> Coverdell ESA (Education Savings Account) Contribution Information	Contributions (including rollover contributions) to a Coverdell ESA.	April 30, 2024
<b>5498</b> IRA (Individual Retirement Account) Contribution Information	Contributions (including rollover contributions) to any IRA. Includes SEP IRA and SIMPLE IRA contributions made during the 2023 calendar year.	May 31, 2024
<b>Schedule K-1/ Form 1065</b> Partner's Share of Income, Deductions, Credits, etc.	Distributions from partnership securities; your partnership administrator should mail your K-1 by their federal tax filing deadline.  <b>Please note:</b> While the K-1 form itself accounts for distributions or other items being passed through to the partners, proceeds from the sale of partnership units are reported on the 1099-B section of your Consolidated 1099.	TD Ameritrade does not provide this form.  Please visit <a href="https://taxpackagesupport.com">taxpackagesupport.com</a> or <a href="https://partnerdatalink.com">partnerdatalink.com</a> for more information about publicly traded partnerships.

Schwab does not provide tax advice. Please consult your tax advisor for additional information.

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